

# Medical History Questionnaire

Employee:

File Number:

Date of Injury:

Employer:

Personal Physician Name & Address: \_\_\_\_\_

- |   | Yes   | No    |
|---|-------|-------|
| 1. Have you ever:   |       |       |
| a. Claimed or received Workers Compensation benefits?.....                | _____ | _____ |
| If yes, when, for what, name & address of treating doctors                |       |       |
| _____   |       |       |
| _____   |       |       |
| b. Received a compensation or disability rating or an off the job injury? | _____ | _____ |
| d. Been hospitalized? For what: _____                                     | _____ | _____ |

Have you ever:

- |   |       |       |
|---|-------|-------|
| c. Had a prior auto, motorcycle or athletic injury? | _____ | _____ |
| If yes, details: _____                              |       |       |
| d. Had surgery? If yes, details _____               | _____ | _____ |

3. Have you ever been treated for any of the following: (PLEASE INDICATE ON LINE PROVIDED)

- a. Back trouble \_\_\_\_\_
- b. Back aches \_\_\_\_\_
- c. Sciatica \_\_\_\_\_
- d. Neuritis \_\_\_\_\_
- e. Neck aches \_\_\_\_\_
- f. Hernia or rupture \_\_\_\_\_
- g. Thyroid problems \_\_\_\_\_
- h. Epilepsy, fainting/dizzy spells, anemia or fatigue \_\_\_\_\_
- i. Asthma, emphysema, bronchitis \_\_\_\_\_
- j. Rheumatism or Rheumatic fever \_\_\_\_\_
- k. Varicose Veins or Circulatory problems \_\_\_\_\_
- l. Paralysis \_\_\_\_\_
- m. Broken bones, Knee injury, sprain of any extremities \_\_\_\_\_
- n. Ulcers or Stomach problems \_\_\_\_\_
- o. Substance abuse \_\_\_\_\_
- p. Cancer \_\_\_\_\_
- q. Psychiatric/ Psychological treatment \_\_\_\_\_

If the answer is YES to any of the above questions, please identify the treating doctor's names and addresses for each condition. \_\_\_\_\_

1. Are you under a doctor's care or taking medication at this time? ..... \_\_\_\_\_

If yes, give Dr. names/addresses: \_\_\_\_\_

2. Do you have physical limitations? If yes, details: \_\_\_\_\_

3. If you have a family doctor, please give name & address: \_\_\_\_\_

Your height \_\_\_\_\_ Your weight \_\_\_\_\_

Signature \_\_\_\_\_ Date \_\_\_\_\_

Social Security Number: \_\_\_\_\_

# CLAIMANT'S AUTHORIZATION TO DISCLOSE HEALTH INFORMATION

(Pursuant to HIPAA)

## INSTRUCTIONS

**To the Claimant:** The Health Insurance Portability and Accountability Act of 1996 (HIPAA) set standards for guaranteeing the privacy of individually identifiable health information and the confidentiality of patient medical records. By completing and signing this form, you authorize your health care provider to file medical reports with the parties that you choose (such as the Workers' Compensation Board, your employer's insurance carrier, your attorney or representative, etc.) by checking the appropriate boxes below.

You have the right to refuse to sign this Authorization. If you sign, you have the right to revoke this Authorization at any time by mailing a request to revoke to the health care provider. You have the right to receive a copy of this Authorization.

**IMPORTANT:** Failure to execute this authorization may interfere with your ability to obtain workers' compensation benefits.

CLAIMANT'S NAME	CLAIMANT'S SOCIAL SECURITY NUMBER	CLAIMANT'S DATE OF BIRTH
LIST ALL WCB CASE NUMBER(S) AND CORRESPONDING DATE(S) OF ACCIDENT FOR WHICH YOU ARE GRANTING AUTHORIZATION		

I, \_\_\_\_\_, hereby authorize my treating health provider,

Claimant's Name

\_\_\_\_\_, to disclose the following described health information:

Health Provider's Name

This information can be disclosed to the following parties: (check all that apply; give names and addresses, if known)

- ☐ New York State Workers' Compensation Board
- ☐ My current/former employer \_\_\_\_\_
- ☐ Workers' compensation insurance carrier(s) \_\_\_\_\_
- ☐ Third-party administrator \_\_\_\_\_
- ☐ My attorney/licensed representative \_\_\_\_\_
- ☐ The Uninsured Employer's Fund (this fund is responsible for paying the medical bills and lost wage benefits when an employer is uninsured.)
- ☐ Special Funds Conservation Committee (for cases under Section 25-a or 15-8 of the Workers' Compensation Law)

**Section 25-a:** If your claim is being reopened after being previously closed, the Special Fund for Reopened Cases may be responsible for paying your medical bills and lost wage benefits.

**Section 15-8:** If you had a medical condition that existed prior to this injury, the Special Fund for Second Injuries may be responsible for reimbursing your employer's insurance carrier after a period of time has elapsed.

**Redisclosure:** I understand that once the above-referenced health care provider discloses health information based on this Authorization, that health information is no longer protected by HIPAA and the Privacy Rule.

**Expiration Date:** This Authorization expires upon the final closing of the workers' compensation claim(s) for which it is executed.

I have had the opportunity to review and understand the content of this Authorization. By signing this Authorization, I confirm that it accurately reflects my wishes.

\_\_\_\_\_  
Printed Name of Claimant or Legal Representative

\_\_\_\_\_  
Signature of Claimant or Legal Representative

\_\_\_\_\_  
Date

If Authorization signed by a legal representative on behalf of claimant, state relationship to claimant \_\_\_\_\_ and basis for authority (e.g. claimant is a minor; patient is deceased and representative is the claimant in a workers' compensation proceeding or represents the estate) \_\_\_\_\_

**TO THE HEALTH PROVIDER:** Keep the original of this Authorization on file. A copy must be given to the patient/claimant upon request. DO NOT SEND TO THE NEW YORK STATE WORKERS' COMPENSATION BOARD.

## AUTHORIZATION FOR RELEASE OF INFORMATION

I authorize you to provide to any employee, agent, representative or attorney of The Triad Group, LLC copies of the following:

1. All information or opinions pertaining to or concerning the past, current, or future physical, medical, or psychological treatment and/or conditions of \_\_\_\_\_; including, without limitation, any and all records, reports, correspondence, test results, charts, histories and physicals, nurses' notes, radiological films, scans, and pictures, all pathology specimens, slides and paraffin blocks and all other documents, paper, opinions, or statements, whether written or oral concerning any examinations, diagnosis, treatment, periods or stay of hospitalization or other confinements.
2. All records, reports, data information or other documents which relate in any way to my past or current employment history, including but not limited to, all applications for employment, resumes, worker's compensation files, records pertaining to health, disability or accident claims including claim forms, questionnaires, reports, correspondence and records of payment made, and all medical department reports, x-ray, test result, physical examination records and hospital, physician, clinic, infirmary, nurse, psychiatric, and dental reports.

A copy of this authorization may be used in place of, and with the same force and effect as, the original. This authorization or any copies thereof shall remain in effect unless and until you received written notice from me revoking you authority to release the above listed information. This authorization is continuing in nature and is to be given full force and effect to release any and all of the foregoing information learned or determined after the date it is signed.

This \_\_\_\_\_ day of \_\_\_\_\_, 2013

Signature: \_\_\_\_\_

SS#: \_\_\_\_\_



New York State

Workers' Compensation Board

OFFICE OF THE CHAIR

20 Park Street Albany, New York 12207

Governor Andrew M. Cuomo

Subject No. 046-480

## Diagnostic Testing Network Regulations Take Effect and Chair Seeks Stakeholder Input on Medical Network Look-up Application Survey

Date: April 3, 2012

### Overview

On February 28, 2012, at the Workers' Compensation Board monthly meeting, the Board adopted new regulations governing the procedures for use of diagnostic testing networks (12 NYCRR 325-7). The regulations supplement Workers' Compensation Law (WCL) §13-a (7) and represent the completion of the workers' compensation reform that began with significant statutory changes in 2007. The adoption of the regulations was published and took effect March 21, 2012.

When workers' compensation carriers and self-insured employers contract with legally and properly organized diagnostic testing networks, claimants are required to obtain diagnostic examinations and tests from providers affiliated with the designated diagnostic testing network. The use of diagnostic testing networks represents an important cost savings component of the 2007 reform efforts. The adopted regulations create procedures to ensure that workers' compensation claimants continue to receive prompt, appropriate and effective medical care.

Several key aspects of the regulation and its implementation are discussed below.

### Notice to Claimants and Treating Medical Providers

The carrier or employer must provide advance notice to the claimant and treating provider to require use of a diagnostic testing network. The carrier or employer must use Board **Form DT-1** or a substantially equivalent form that contains all the elements required by 12 NYCRR 325-7.5(d). The notice does not require a signature and should not be routinely filed with the Board unless notice is disputed. If mailed, receipt of notice is presumed to be five days from date of mailing.

The **claimant** must receive notice:

- At the time the carrier sends the statement of rights required by WCL § 110(2), or
- Within two weeks of the carrier requiring use of a diagnostic testing network.

Each **treating medical provider** must receive notice that patients covered by a particular carrier or self-insured employer are required to use a diagnostic testing network. This notice is provided:

- When the carrier or employer pays or objects to the treating medical provider's first bill for treatment, or
- When the carrier or employer sends a one-time mailing to all treating medical providers that the carrier or employer has identified as providers who have occasion to refer patients for diagnostic examinations or tests.

In addition, when authorizing a diagnostic test costing more than \$1,000 under WCL §13-a(5), a carrier or employer shall notify the requesting physician that the claimant is required to use a diagnostic testing network.

**EFFECTIVE DATE OF NOTICE REQUIREMENT:** Carriers and self-insured employers that currently mandate use of a diagnostic testing network shall have twenty [20] days from the date of this Subject Number to notify treating medical providers if using the one-time mailing method.

### Arranging Testing Through Networks

The carrier or employer must supply the treating medical provider with contact information for the diagnostic testing network and a list or web address for obtaining a list of affiliated network providers. The carrier or employer must also provide details on how to schedule necessary testing.

**Exceptions:** A claimant does not need to use a diagnostic testing network when:

- A medical emergency requires that the test be completed within 12 hours.
- None of the affiliated network providers within a reasonable distance of the claimant's home or work are able to schedule the requested test or examination within 5 business days.
- The carrier or employer is controverting the claimant's workers' compensation claim and has indicated that it will not pay for any examinations or tests.
- X-rays are medically necessary and an integral part of an office visit for the diagnosis and treatment of fractures, dislocations, tumors, infections or surgical follow-up.
- The carrier or employer has not provided notice to the claimant and his or her treating provider.

**IMPORTANT:** A Diagnostic Testing Network must schedule an examination or test within 5 business days of the date the examination or test is requested. If the Diagnostic Testing Network would like carrier or employer approval of the examination or test, the test still must be scheduled within 5 business days of the request. The only exception will be if prior authorization for the test is required under the Workers' Compensation Law and the Medical Treatment Guidelines. Failure to schedule a requested examination or test within 5 business days permits the claimant to obtain the requested test from an out-of-network provider and is payable by the carrier or employer at the Board established fee schedule rate.

### Information for Carriers/Employers and Diagnostic Testing Networks on Required Filings

The regulations require diagnostic testing networks, as well as the self-insured employers and carriers that use them, to file certain information with the Board. Required filings should be submitted electronically to [DTNfiling@wcb.ny.gov](mailto:DTNfiling@wcb.ny.gov). Updates to the required filings must be made within 20 days of any change. The Board will request an annual update of ownership information for all diagnostic testing networks via email in February of each year.

### Medical Network Look-up

The Board is considering developing an application on its website ([www.wcb.ny.gov](http://www.wcb.ny.gov)) to allow claimants, physicians, and others to determine whether a particular insurance company or employer requires use of a diagnostic testing network and to obtain necessary information about the network. The Board is in the planning stages and is seeking input from stakeholders on the features of such a look-up application. A survey is available at [http://www.surveymonkey.com/s/DTN\\_PBM\\_Survey](http://www.surveymonkey.com/s/DTN_PBM_Survey) and should be completed by April 20, 2012. If it is determined that a Medical Network Look-up application would be useful, the Board hopes to have it available by late 2012.

Robert E. Beloten  
Chair

# Notice That Claimant Must Arrange for Diagnostic Tests & Examinations through a Network Provider

**DT-1**

*State of New York - Workers' Compensation Board*

Claimants are required to obtain Diagnostic Tests and Examinations through the Carrier's Diagnostic Testing Network(s) identified below. This Notice is supplied to the Claimant and Treating Medical Provider pursuant to Workers' Compensation Law §13-a(7) and 12 NYCRR 325-7. Failure to provide the required notice relieves the Claimant of his/her obligation to use the diagnostic testing network(s).

**Triad Group, LLC**

Date of Notice: \_\_\_\_\_

**Check the applicable box below:**

☐ **Notice to the Claimant**

Claimant: \_\_\_\_\_ WCB Case Number: \_\_\_\_\_  
First Name Middle Initial Last Name (If Available)

Mailing Address: \_\_\_\_\_

Carrier Case Number: \_\_\_\_\_

☒ **Notice to the Treating Medical Provider**

Name of Treating Medical Provider: \_\_\_\_\_ Authorization No.: \_\_\_\_\_

Mailing Address: \_\_\_\_\_

Identify the Diagnostic Examination or Test that the Claimant must schedule using the Diagnostic Testing Network (check all applicable boxes):

- ☒ All ☐ MRI ☐ CT ☐ EMG/NCS ☐ Diagnostic Ultrasound ☐ X-Ray  
☐ Other: \_\_\_\_\_

To schedule a diagnostic examination or test, contact the Diagnostic Testing Network listed below:

## Diagnostic Testing Network

Identify the diagnostic testing network name, address, toll-free telephone number and any web address or e-mail contact information below:

Diagnostic Testing Network: ONECALL MEDICAL OR DIATRI LLC

Mailing Address: \_\_\_\_\_

Phone Number: (\_\_\_\_) \_\_\_\_\_ Fax Number: (\_\_\_\_) \_\_\_\_\_

Web Address: \_\_\_\_\_ E-mail Address: \_\_\_\_\_

## STATEMENT OF RIGHTS AND OBLIGATIONS - DIAGNOSTIC TESTING NETWORKS (WCL §13-a(7) and 12 NYCRR §325-7)

1. The claimant will receive the name, address and phone number of at least five [5] providers. The providers must be located within a reasonable distance from the claimant's home or work. The network must provide the claimant with all providers if there are fewer than five [5] within a reasonable distance.
2. The test must be scheduled and performed within five [5] business days of the request. If the network asks the carrier to approve the test, it must still be performed within five [5] business days of the request from claimant's doctor.
3. The claimant may select any network provider to perform the test.
4. The claimant may discuss with his or her doctor which provider to choose.
5. The claimant should share this notice with all of his or her doctors.
6. The claimant does not have to use a network provider under these circumstances:
  - a. The provider can't schedule the test within five [5] business days.
  - b. The carrier has challenged (controverted) or will controvert the claim.
  - c. In a medical emergency.
  - d. For x-rays taken during an office visit and used for diagnosis and treatment of: fractures, possible fractures, joint dislocations, tumors, infections, loosening of surgical implants, dislocation of prosthetic joints, spinal instability, or follow-up to surgery.
7. If the carrier doesn't provide the required notice, the carrier must pay for tests outside of the network.
8. On written request, the network will provide the actual test film, data or digital images to the claimant's doctor. These items will be sent to the claimant's doctor with the report or within three [3] business days of receipt of the written request. A doctor may order a second test from the network for the purpose of obtaining an accurate diagnosis as set forth in the Medical Treatment Guidelines if the quality of the test is inadequate.
9. The claimant is entitled to reimbursement for reasonable travel costs to and from the provider.

More information on diagnostic testing networks is available in Subject Number 046-480, located on the Board's website under Board Bulletins and Subject Numbers.

## STATEMENT OF RIGHTS

### **TO ALL WORKERS WHO ARE INJURED WHILE WORKING OR WHO SUFFER FROM AN OCCUPATIONAL DISEASE**

#### **YOU MAY BE ENTITLED TO WORKERS' COMPENSATION BENEFITS**

1. You should file a claim for benefits within two years of the date you are injured, unless your injury is very minor, requiring no medical treatment and causing no lost time from work. If you do not file within two years your right to benefits may be lost. Obtain and file a claim form (Form C-3, or VF-3 for volunteer firefighters, or VAW-3 for volunteer ambulance workers) with the nearest Workers' Compensation Board office (see addresses below).
2. You may be entitled to lost time benefits if your work-related injury keeps you from work for more than seven days, compels you to work at lower wages or results in permanent disability to any part of your body. You may be entitled to rehabilitation services if you need help returning to work. (In volunteer firefighters' and volunteer ambulance workers' cases, compensation for lost time or loss of earning capacity may be payable from date of injury.)
3. You are entitled to obtain any necessary medical treatment related to your injury and you should do so immediately.
4. For the treatment of your work-related injury or illness, you may choose any physician, podiatrist, chiropractor, or psychologist (upon referral from an authorized physician) who is Board authorized and who is accepting workers' compensation patients. If, however, your employer is involved in a certified preferred provider organization (PPO) arrangement, you must obtain initial treatment for any workers' compensation injury or illness from the preferred provider organization. Employers participating in this statutory program are required to provide their employees with written notification describing their employees' rights and obligations under the program.
5. You should inform your doctor to file copies of medical reports concerning your claim with the Workers' Compensation Board and your employer's insurance company, which is indicated at the bottom of this form.
6. You should not pay any medical providers directly for treatment of your work-related injury or illness. They should send their bills to your employer's insurance carrier. If there is a dispute, the provider must wait until the Board makes a decision before it attempts to collect payment from you. If you do not pursue your claim or the Board rules that your injury is not work-related, you may be responsible for the payment of the bills.
7. The employer is liable for the replacement or repair of an employee's prosthesis (e.g., artificial members, false teeth, eyeglasses), which has been lost or damaged in the course of employment, whether or not there was bodily injury to the employee. You are also entitled to be reimbursed for drugs, crutches or any apparatus properly prescribed by your doctor, and transportation and other necessary expenses going to and from your doctor's office or hospital. (You should get receipts for all such expenses.)
8. You are entitled to be represented by an attorney or licensed representative, but it is not required. If you do hire an attorney or licensed representative, you should not pay him/her directly. Any fee will be set by the Board and will be deducted from your award.
9. Lost time and medical benefits are payable directly without a formal direction from the Board, unless your claim is disputed. If your claim is disputed on the grounds that your injury is not work-related or did not arise in the line of volunteer firefighter or ambulance worker duties, then you may qualify for disability benefits for non-work injuries. For more information on entitlement to disability benefits, contact the Workers' Compensation Board office nearest you.
10. You should go back to work as soon as you are able; compensation is never as high as your wage. If you need help returning to work, or with family or financial problems because of your injury, you should contact the nearest Board office and ask for a rehabilitation counselor or social worker.
11. Your employer may not ask you to waive your right to compensation nor may your employer deduct any money from your pay to contribute to the payment of workers' compensation insurance premiums. Further, you cannot be discharged or discriminated against because you filed a claim for workers' compensation benefits.

**IF YOU HAVE DIFFICULTY IN OBTAINING A CLAIM FORM OR NEED HELP IN FILLING IT OUT, OR IF YOU HAVE ANY OTHER QUESTIONS OR PROBLEMS ABOUT A JOB-RELATED INJURY OR DISEASE, CONTACT ANY OFFICE OF THE WORKERS' COMPENSATION BOARD.**

This information is a simplified presentation of your rights under the Workers' Compensation Law. It is provided, as required by Section 110 of the Workers' Compensation Law, by your employer's insurance carrier.

INSERT NAME AND ADDRESS OF INSURANCE CARRIER



ROBERT E. BELOTEN  
CHAIR

NYS Workers' Compensation Board, Centralized Mailing, PO Box 5205, Binghamton, NY 13902-5205

THE WORKERS' COMPENSATION BOARD EMPLOYS AND SERVES PEOPLE WITH DISABILITIES WITHOUT DISCRIMINATION.

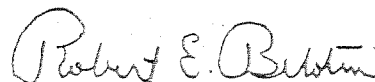
**A TODO EMPLEADO LESIONADO EN EL TRABAJO O QUE SUFRA DE ENFERMEDAD OCUPACIONAL:****USTED PUEDE TENER DERECHO A BENEFICIOS DE COMPENSACION OBRERA**

1. Usted deberá presentar una reclamación de beneficios dentro del término de dos años del día en que fue lesionado, a menos que la lesión sea tan pequeña que no requiera tratamiento médico y que no cause interrupción en su jornada de trabajo. Si no radica dentro del término de dos años, puede perder sus derechos a beneficios. Consiga y radique una forma de reclamación (Forma C-3, o VF-3 para bomberos voluntarios, o VAW-3 para empleados voluntarios de ambulancias) en la oficina más cercana de la Junta de Compensación Obrera (direcciones más abajo).
2. Usted tiene derecho a compensación si su lesión relacionada con el trabajo le impide trabajar por más de siete días, le obliga a trabajar a sueldo más bajo ó resulta en incapacidad permanente de cualquier parte de su cuerpo. Usted puede tener derecho a servicios de rehabilitación si necesita ayuda para regresar al trabajo. (Bomberos voluntarios y Trabajadores de Ambulancia Voluntarios pueden ser compensados desde el mismo día de su lesión.)
3. Usted tiene derecho a recibir tratamiento médico relacionado con su lesión y debe obtenerlo inmediatamente.
4. Para el tratamiento de cualquier lesión o enfermedad relacionada con el trabajo, usted puede escoger cualquier médico, podiatra, quiropráctico ó psicólogo (si es referido por un médico autorizado) que esté autorizado y acepte pacientes de la Junta de Compensación Obrera. Sin embargo, si su patrono está autorizado a participar en una organización certificada de proveedores preferidos (PPO), usted deberá obtener tratamiento inicial para cualquier lesión o enfermedad relacionada con el trabajo de la correspondiente entidad. Patronos que participen en esta programa establecida por ley están obligados a proveer a sus empleados notificación escrita explicando sus derechos y obligaciones bajo el programa a que esté acogido.
5. Usted deberá requerir de su Médico que radique copias de los informes médicos de su caso en la Junta de Compensación Obrera y en la compañía de seguros de su patrono, que se indica al final de esta forma.
6. No pague a ningún proveedor médico directamente por tratamiento de su lesión o enfermedad relacionada con el trabajo. Ellos deben enviar sus facturas al asegurador de su patrono. Si el caso es cuestionado, el proveedor deberá esperar hasta que la Junta decida el caso, antes de iniciar gestión de cobro alguna contra usted. Si usted no tramita su caso ó la Junta falla que su lesión o enfermedad no está relacionada con el trabajo, usted podría ser responsable del pago de las facturas.
7. El patrono es responsable de la sustitución y reparación de aquellos implementos médicos que han sido perdidos o se han deteriorado como consecuencia del empleo, sin que importe el que el empleado haya onosufrido lesión (Ej. miembros artificiales, dentadura postiza, espejuelos). Usted también tiene derecho a ser reembolsado por medicinas, muletas, o cualquier otro implemento debidamente recetado por su médico y por transportación u otro gasto necesario para ir al médico ó al hospital. (Obtenga recibos para justificar gastos.)
8. No es obligatorio el estar representado en ninguno de los procedimientos de la Junta, pero es un derecho que usted tiene, el estar representado por abogado ó por representante licenciado si usted así lo desea. Si es representado, no pague al abogado ó al representante licenciado. Cuando la Junta decida su caso, los honorarios serán determinados por la Junta y descontados de sus beneficios.
9. La compensación se paga inmediatamente, sin esperar por la adjudicación del caso, excepto cuando la reclamación es cuestionada. Si la reclamación es cuestionada en base a que la incapacidad no fue causada por un accidente relacionado con su trabajo ó por una enfermedad ocupacional ó por una lesión en el cumplimiento de su deber como bombero voluntario ó como miembro voluntario del cuerpo de ambulancia, usted puede tener derecho a recibir beneficios por incapacidad (para lesiones fuera del trabajo). Si su reclamación es cuestionada y no está recibiendo beneficios por incapacidad, comuníquese con cualquier oficina de la Junta.
10. Regrese a su trabajo tan pronto pueda. La compensación nunca es tan alta como su sueldo. Si necesita ayuda para regresar al trabajo ó para resolver problemas financieros ó personales por causa de la lesión sufrida, comuníquese con la oficina más cercana de la Junta y solicite hablar con un trabajador social o con un consejero de rehabilitación.
11. Su patrono no puede solicitar que usted le releve de su derecho a compensación, ni puede descontar cantidad alguna de su paga para contribuir al pago de las primas del seguro. Usted no podrá ser despedido ni penalizado por radicar una reclamación en la Junta.

SI TIENE DIFICULTAD EN CONSEGUIR UN FORMULARIO DE RECLAMACIÓN O NECESITA AYUDA PARA LLENARLO Ó TIENE DUDAS SOBRE CUALQUIER SITUACIÓN RELACIONADA CON UNA LESIÓN O ENFERMEDAD COMUNIQUESE CON LA OFICINA MAS CERCANA DE LA JUNTA.

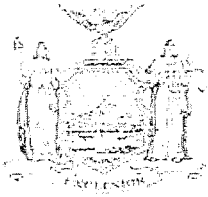
Este resumen es una compilación de los puntos más importantes de sus derechos bajo la ley de compensación obrera. La sección 110 de la ley requiere de su patrono ofrecerle esta información.

INSERT NAME AND ADDRESS OF INSURANCE CARRIER

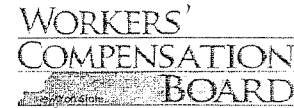


ROBERT E. BELOTEN  
PRESIDENTE

NYS Workers' Compensation Board, Centralized Mailing, PO Box 5205, Binghamton, NY 13902-5205



STATE OF NEW YORK  
WORKERS' COMPENSATION BOARD  
100 BROADWAY-MENANDS  
ALBANY, NY 12241  
(877) 632-4996



## You were injured at work. What now?

The New York State Workers' Compensation Board has received notice you suffered a workplace injury or illness, so we're preparing a workers' compensation case in your name. You may have already received medical treatment. If you haven't, you should seek medical care as soon as possible.

### A Worker's Responsibilities

- You must tell your employer, in writing, when, where and how you were injured. Do this within 30 days of injury.
- *Medical reports are necessary for your case.* Advise your doctors that you have a work-related injury, and give the name of your employer. Do not pay for your care yourself or use other health insurance. Tell your doctor to file reports with the Board and with your employer or its insurance carrier. If your case is disputed, the Board needs a medical report on your injury to begin resolving your claim.

### Starting a Case

Once your employer knows of your injury, it must notify this Board by filing a C-2 form. *You should file an employee claim (C-3 form) reporting your injury as soon as possible.* (You **must** notify the Board of your injury or illness within two years.) If you injured the same body part before, or had a similar illness, you must also file a Form C-3.3.

If you haven't already filed a C-3 or C-3.3 (if necessary), there are three ways to do it.

- Visit [www.wcb.ny.gov/content/main/onthejob/howto.jsp](http://www.wcb.ny.gov/content/main/onthejob/howto.jsp) to complete the form.
- Complete the enclosed paper forms, and mail them to the Board.
- Call 1-866-396-8314. A Board employee will complete the form with you.

### Health Care Bills

Do not pay your doctor or hospital. Those bills are paid by the insurer unless the Board disallows your case. If your case is disputed, the providers are paid when the Board decides your case. If the Board decides against you, or if *you don't pursue a case, you will have to pay the doctor or hospital.*

Your employer's insurance covers medically necessary drugs and equipment your doctor prescribes. You're also entitled to carfare or necessary expenses incurred when traveling for treatment. (Get receipts for those expenses.)

Generally, you can choose any doctor authorized by the Board. You can also use occupational health clinics. However, if your employer's insurer has a preferred provider organization to provide care for workers' compensation injuries, you must get your initial treatment from those providers. If that insurer also has a pharmacy or diagnostic network, you must get service within these networks. If the carrier uses these networks, it must also tell you its service providers and how to use them.

### Benefits for Lost Wages

You are entitled to a portion of your lost wages if your injury affects you in one or more ways:

1. It keeps you from work for more than seven days;
2. Part of your body is permanently disabled;
3. Your pay is reduced because you now work fewer hours or do other work.

An employer or insurer can accept your claim and begin paying your lost wage benefit promptly. Sometimes, employers and carriers dispute a claim. When that occurs, the Board strives to resolve most cases within 90 days.

You may hire an attorney or licensed representative, who can be helpful with complex or disputed claims, but it isn't required. The Board sets their fees and they will be deducted from your lost wages award. You or your family should not pay anything directly to your attorney or licensed representative.

If your case is disputed, you may receive disability benefits while the case is heard. You'd pay them back out of your lost wages award. To get a DB-450 form, visit [www.wcb.ny.gov/content/main/forms/db450.pdf](http://www.wcb.ny.gov/content/main/forms/db450.pdf) or a Board office, or call (800) 353-3092.

### Help is Available

People sometimes need help getting back to work. Your employer may have a *return to work* program that can get you back to work in light duty or an alternative position while you heal. An injury can also cause family or financial problems. The Workers' Compensation Board has rehabilitation counselors and social workers to help. Call (877) 632-4996 for more assistance.

### What's Next?

Your employer or its insurance carrier will contact you if your claim is accepted. When that happens, your treatment will be paid and lost wage benefits begin. If your case is challenged, the Board will notify you about resolving the case. If more information is necessary, the Board will contact you and tell you how to file it.

### Important Contact Information

Workers' Compensation Board	(877)632-4996	<a href="mailto:General_information@wcb.ny.gov">General_information@wcb.ny.gov</a>
Disability Benefits	(800)353-3092	<a href="http://www.WCB.NY.Gov">www.WCB.NY.Gov</a>
NYS Bar Association Lawyer Referral and Information Service	(800)342-3661	<a href="mailto:lr@nysba.org">lr@nysba.org</a>

State of New York - Workers' Compensation Board

Fill out this form to apply for workers' compensation benefits because of a work injury or work-related illness. Type or print neatly. This form may also be filled out on-line at [www.wcb.ny.gov](http://www.wcb.ny.gov).

WCB Case Number (if you know it): \_\_\_\_\_

**A. YOUR INFORMATION (Employee)**

1. Name: \_\_\_\_\_ 2. Date of Birth: \_\_\_\_/\_\_\_\_/\_\_\_\_  
First MI Last

3. Mailing address: \_\_\_\_\_  
Number and Street/PO Box City State Zip Code

4. Social Security Number: \_\_\_\_\_ 5. Phone Number: (\_\_\_\_) \_\_\_\_\_ 6. Gender: ☐ Male ☐ Female

7. Will you need a translator if you have to attend a Board hearing? ☐ Yes ☐ No If yes, for what language? \_\_\_\_\_

**B. YOUR EMPLOYER(S)**

1. Employer when injured: \_\_\_\_\_ 2. Phone Number: (\_\_\_\_) \_\_\_\_\_

3. Your work address: \_\_\_\_\_  
Number and Street City State Zip Code

4. Date you were hired: \_\_\_\_/\_\_\_\_/\_\_\_\_ 5. Your supervisor's name: \_\_\_\_\_

6. List names/addresses of any other employer(s) at the time of your injury/illness: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

7. Did you lose time from work at the other employment(s) as a result of your injury/illness? ☐ Yes ☐ No

**C. YOUR JOB on the date of the injury or illness**

1. What was your job title or description? \_\_\_\_\_

2. What types of activities did you normally perform at work? \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

3. Was your job? (check one) ☐ Full Time ☐ Part Time ☐ Seasonal ☐ Volunteer ☐ Other: \_\_\_\_\_

4. What was your gross pay (before taxes) per pay period? \_\_\_\_\_ 5. How often were you paid? \_\_\_\_\_

6. Did you receive lodging or tips in addition to your pay? ☐ Yes ☐ No If yes, describe: \_\_\_\_\_  
\_\_\_\_\_

**D. YOUR INJURY OR ILLNESS**

1. Date of injury or date of onset of illness: \_\_\_\_/\_\_\_\_/\_\_\_\_ 2. Time of injury: \_\_\_\_\_ ☐ AM ☐ PM

3. Where did the injury/illness happen? (e.g., 1 Main Street, Pottersville, at the front door) \_\_\_\_\_  
\_\_\_\_\_

4. Was this your usual work location? ☐ Yes ☐ No If no, why were you at this location? \_\_\_\_\_  
\_\_\_\_\_

5. What were you doing when you were injured or became ill? (e.g., unloading a truck, typing a report) \_\_\_\_\_  
\_\_\_\_\_

6. How did the injury/illness happen? (e.g., I tripped over a pipe and fell on the floor) \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

7. Explain fully the nature of your injury/illness; list body parts affected (e.g., twisted left ankle and cut to forehead): \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

YOUR NAME: \_\_\_\_\_

DATE OF INJURY/ILLNESS: \_\_\_\_/\_\_\_\_/\_\_\_\_

**D. YOUR INJURY OR ILLNESS** *continued*8. Was an object (e.g., forklift, hammer, acid) involved in the injury/illness? ☐ Yes ☐ No If yes, what? \_\_\_\_\_9. Was the injury the result of the use or operation of a licensed motor vehicle? ☐ Yes ☐ NoIf yes, ☐ your vehicle ☐ employer's vehicle ☐ other vehicle License plate number (if known): \_\_\_\_\_

If your vehicle was involved, give name and address of your motor vehicle insurance carrier: \_\_\_\_\_

10. Have you given your employer (or supervisor) notice of injury/illness? ☐ Yes ☐ NoIf yes, notice was given to: \_\_\_\_\_ ☐ orally ☐ in writing Date notice given: \_\_\_\_/\_\_\_\_/\_\_\_\_11. Did anyone see your injury happen? ☐ Yes ☐ No ☐ Unknown If yes, list names: \_\_\_\_\_**E. RETURN TO WORK**1. Did you stop work because of your injury/illness? ☐ Yes, on what date? \_\_\_\_/\_\_\_\_/\_\_\_\_ ☐ No, skip to Section F.2. Have you returned to work? ☐ Yes ☐ No If yes, on what date? \_\_\_\_/\_\_\_\_/\_\_\_\_ ☐ regular duty ☐ limited duty3. If you have returned to work, who are you working for now? ☐ Same employer ☐ New employer ☐ Self employed

4. What is your gross pay (before taxes) per pay period? \_\_\_\_\_ How often are you paid? \_\_\_\_\_

**F. MEDICAL TREATMENT FOR THIS INJURY OR ILLNESS**1. What was the date of your first treatment? \_\_\_\_/\_\_\_\_/\_\_\_\_ ☐ None received (skip to question F-5)2. Were you treated on site? ☐ Yes ☐ No3. Where did you receive your first off site medical treatment for your injury/illness? ☐ none received ☐ Emergency Room☐ Doctor's office ☐ Clinic/Hospital/Urgent Care ☐ Hospital Stay over 24 hours

Name and address where you were first treated: \_\_\_\_\_

Phone Number: (\_\_\_\_) \_\_\_\_\_

4. Are you still being treated for this injury/illness? ☐ Yes ☐ No

Give the name and address of the doctor(s) treating you for this injury/illness: \_\_\_\_\_

Phone Number: (\_\_\_\_) \_\_\_\_\_

5. Do you remember having another injury to the same body part or a similar illness? ☐ Yes ☐ NoIf yes, were you treated by a doctor? ☐ Yes ☐ No If yes, provide the names and addresses of the doctor(s) who treated you and **COMPLETE AND FILE FORM C-3.3 TOGETHER WITH THIS FORM:**6. Was the previous injury/illness work related? ☐ Yes ☐ NoIf yes, were you working for the same employer that you work for now? ☐ Yes ☐ No

I am hereby making a claim for benefits under the Workers' Compensation Law. My signature affirms that the information I am providing is true and accurate to the best of my knowledge and belief.

Any person who knowingly and with INTENT TO DEFRAUD presents, causes to be presented, or prepares with knowledge or belief that it will be presented to, or by an insurer, or self-insurer, any information containing any FALSE MATERIAL STATEMENT or conceals any material fact, SHALL BE GUILTY OF A CRIME and subject to substantial FINES AND IMPRISONMENT.

Employee's Signature: \_\_\_\_\_ Print Name: \_\_\_\_\_ Date: \_\_\_\_/\_\_\_\_/\_\_\_\_

On behalf of Employee: \_\_\_\_\_ Print Name: \_\_\_\_\_ Date: \_\_\_\_/\_\_\_\_/\_\_\_\_

*An individual may sign on behalf of the employee only if he or she is legally authorized to do so and the employee is a minor, mentally incompetent or incapacitated.*

I certify to the best of my knowledge, information and belief, formed after an inquiry reasonable under the circumstances, that the allegations and other factual matters asserted above have evidentiary support, or are likely to have evidentiary support after a reasonable opportunity for further investigations or discovery.

Signature of Attorney/Representative (if any): \_\_\_\_\_ Date: \_\_\_\_/\_\_\_\_/\_\_\_\_

Print Name: \_\_\_\_\_ Title: \_\_\_\_\_

ID No., if any: R \_\_\_\_\_ If Licensed Representative, License No.: \_\_\_\_\_ Expiration Date: \_\_\_\_/\_\_\_\_/\_\_\_\_

WCB Case No. (if you know it): \_\_\_\_\_

**To Claimant:** If you received treatment for a *previous* injury to the same body part or for an illness similar to the one described in your current Claim, fill out this form. This form allows the health care providers you list below to release health care information about your previous injury/illness to your employer's workers' compensation insurer. The federal HIPAA law (Health Insurance Portability and Accountability Act of 1996) says you have a right to get a copy of this form. If you do not understand this form, talk to your legal representative. If you do not have a legal representative, the Advocate for Injured Workers at the Workers' Compensation Board can help you. Call: 800-580-6665.

**To Health Care Provider:** A copy of this HIPAA-compliant release allows you to disclose health information. If you send records to the employer's workers' compensation insurer in response to this release, also mail copies to the Claimant's legal representative. (If no legal representative is listed below, send copies to the Claimant.) Health care providers who release records must follow New York state law and HIPAA.

This release is:

- **Voluntary.** Your health care provider(s) must give you the same care, payment terms, and benefits, whether you sign this form or not.
- **Limited.** It gives your health care provider(s) permission to release only those health records that are related to the previous illness/condition you describe below.
- **Temporary.** It ends when your current claim for compensation is established or disallowed and all appeals are exhausted.
- **Revocable.** You can cancel this release at any time. To cancel, send a letter to the health care provider(s) listed on this form. Also, send a copy of your letter to your employer's workers' compensation insurer and the Workers' Compensation Board. *Note: You may not cancel this release with respect to medical records already provided.*
- **For records only.** It gives your health care provider(s) listed on this form permission to send copies of your health care records to your employer's workers' compensation insurer.

This form does NOT allow your health care provider(s) to release the following types of information:

- **HIV-related information**
- **Psychotherapy notes**
- **Alcohol/Drug treatment**
- **Mental Health treatment** (unless you check below)
- **Verbal information** (your health care providers may not discuss your health care information with anyone)

Any medical records released will become part of your workers' compensation file and are confidential under the Workers' Compensation Law.

**A. YOUR INFORMATION (Claimant)**

1. Name: \_\_\_\_\_
2. Social Security Number: \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_
3. Mailing Address: \_\_\_\_\_
4. Date of Birth: \_\_\_\_/\_\_\_\_/\_\_\_\_
5. Date of the current injury/illness: \_\_\_\_/\_\_\_\_/\_\_\_\_
6. Current injury/illness, including all body parts injured: \_\_\_\_\_  
\_\_\_\_\_
7. Your legal representative's name and address (if any): \_\_\_\_\_  
\_\_\_\_\_

☐ Check here if you allow your health care provider(s) to release **mental health care** information.

**B. YOUR HEALTH CARE PROVIDER(S)** (List all health care providers who treated you for a *previous* injury to the same body part or similar illness. If more than 2 providers attach their contact information to this form.)

1. Provider: \_\_\_\_\_
2. Phone Number: (\_\_\_\_) \_\_\_\_\_
3. Mailing Address: \_\_\_\_\_
4. Other provider (if any): \_\_\_\_\_
5. Phone Number: (\_\_\_\_) \_\_\_\_\_
6. Mailing Address: \_\_\_\_\_

**C. READ AND SIGN BELOW.** I hereby request that the health care provider(s) listed above give my employer's workers' compensation insurer copies of all health records related to any previous injury/illness, to all body parts, described above.

Claimant's signature (ink only -- use blue ballpoint pen, if possible.)

Date

If the claimant is unable to sign, the person signing on his/her behalf must fill out and sign below:

Your name

Relationship to Claimant

Signature (ink only -- use blue ballpoint pen, if possible.)

Date

[www.wcb.ny.gov](http://www.wcb.ny.gov)

Please complete this form and send it to the Workers' Compensation Board centralized mailing address listed at the bottom of these instructions. If you need additional help in completing this form, contact the Workers' Compensation Board at 1-877-632-4996. You may also fill this form out online at: <http://www.wcb.ny.gov/>

If you do not have or know your Workers' Compensation Board Case Number, please leave this field blank. It is not required to process your claim. Remember to enter your name and the date of your injury/illness on the top of page two.

#### Section A - Your Information (Employee):

- Item 1: Enter your full name, including first name, middle initial, and last name.
- Item 2: Enter your date of birth in month/day/year format. Include the four digit year.
- Item 3: Enter your mailing address, including P.O. Box, if applicable, city or town, state, and Zip code.
- Item 4: Enter your Social Security Number. This is very important to help service your claim faster.
- Item 5: Indicate the primary contact phone number, including area code. This may include a cell phone number.
- Item 6: Indicate your gender (Male or Female).
- Item 7: Board hearings are conducted in English. If you will need a translator to understand the proceeding, the Board will provide one. Check Yes and indicate the language needed.

#### Section B - Your Employer(s):

- Item 1: Indicate the employer you were working for at the time you were injured or became ill.
- Item 2: Enter the phone number for this employer, either a primary contact number or the number for your supervisor.
- Item 3: Enter the employer's address, including P.O. Box, if applicable, city or town, state, and Zip code.
- Item 4: Indicate the date you were hired by this employer.
- Item 5: Enter your direct supervisor's name, whom you report to on a regular basis.
- Item 6: If you have more than one job, please indicate the names and addresses of all other employers you work for besides the one you were injured at. Please attach a separate sheet if you need more room.
- Item 7: Check Yes if you lost time from any of your other jobs as a result of your injury or illness; otherwise, check No.

#### Section C - Your Job on the Date of the Injury or Illness:

- Item 1: Indicate your current job title or job description (e.g., warehouse worker).
- Item 2: Indicate your typical work activities for this job (e.g., keeping inventory, unloading trucks, etc.).
- Item 3: Check the type of job you had.
- Item 4: Enter your gross pay (before taxes) per pay period.
- Item 5: Indicate how often you received a paycheck (weekly, bi-weekly, etc.).
- Item 6: Indicate if you received any tips or lodging in addition to your regular pay. If you did, describe them.

#### Section D - Your Injury or Illness:

- Item 1: Enter the date when you were injured or the first date you noticed you became ill. Enter the date in month/day/year format. Include the four digit year. If this is an illness or occupational disease, then skip item 2.
- Item 2: Enter the time when the injury occurred. Check whether it was AM or PM.
- Item 3: Indicate the location where the injury/illness occurred, including the address of the building and the physical location in the building where the injury/illness happened.
- Item 4: Check whether this was your normal work location. If it was not, explain why you were at this location.
- Item 5: Describe in detail what you were doing at the time of the injury/illness (e.g., unloading boxes from a truck by hand). This explains the events leading up to the injury.
- Item 6: Describe in detail how the injury/illness occurred (e.g., I was lifting a heavy box off a truck). This should include all people and events involved in the injury/illness.
- Item 7: Indicate fully the nature and extent of your injury/illness, including all body parts injured. Be as specific as possible. (e.g., I strained my back trying to lift a heavy box. It hurts to bend over or hold even lighter objects now.)
- Item 8: Indicate if some object was involved in the accident OTHER THAN a licensed motor vehicle. Other objects may include a tool (e.g., hammer), a chemical (e.g., acid), machinery (e.g., forklift or drill press), etc.
- Item 9: Indicate if a licensed motor vehicle was involved in the accident. If so, check if the motor vehicle involved was yours, your employer's, or a third party's. Include the license plate number (if known). If your vehicle was involved, fill out the name and address of your automobile liability insurance carrier.
- Item 10: Check if you gave your employer or supervisor notice of your injury or illness. If so, indicate who you gave notice to as well as if it was orally or in writing. Include the date you gave notice.
- Item 11: Check if anyone else saw the injury happen. If anyone did see it, include their name(s).

#### Section E - Return to Work:

- Item 1: If you stopped working as a result of your work-related injury/illness, check Yes and indicate on what date you stopped working. If you have not stopped working, check No and skip to the next section.

**Section E - Return to Work (cont.):**

- Item 2:** If you have since returned to work, check Yes. Also indicate on what date you started working again, as well as if you have returned to your Normal Duties or if you are on Limited or Restricted Duty. (If you have not returned to your full pre-injury or illness work duties, then you are on Limited Duty.)
- Item 3:** If you have returned to work, indicate who you are working for now.
- Item 4:** Enter your gross pay (before tax pay) per pay period for the job you are working at now. Indicate how often you are receiving a paycheck (weekly, bi-weekly, etc.).

**Section F - Medical Treatment for This Injury or Illness:**

- Item 1:** If you did not receive medical treatment for this injury/illness, check None Received and skip to item 5. Otherwise, enter the date you first received treatment for this injury/illness and complete the rest of this section.
- Item 2:** Check if you were first treated on the job for this injury or illness.
- Item 3:** Check the location where you first received off site medical treatment for your injury or illness. Include the name and address of the facility as well as the phone number (including area code).
- Item 4:** If you are still receiving ongoing treatment for the same injury or illness, check Yes and indicate the name and address of the doctor(s) providing treatment as well as the phone number (including area code); otherwise check No.
- Item 5:** If you believe you already had an injury to the same body part or a similar illness, check Yes and indicate if you were treated by a doctor for this injury or illness. If you were treated by a doctor, indicate the name(s) and address(es) of the doctor(s) whom provided care and **complete and file Form C-3.3 together with this form.**
- Item 6:** If you had a previous injury or illness, check if your previous injury or illness was work-related. If Yes, check if the injury or illness happened while working for your current employer.

Sign Form C-3 in the place provided for "Employee's Signature on page 2, print your name, and enter the date you signed the form. If a third-party is signing on behalf of the employee, that person should sign on the second signature line. If you have legal representation, your representative **must** complete and sign the attorney/representative's certification section on the bottom of page 2.

**What Every Worker Should Do in Case of On-The-Job Injury or Occupational Disease:**

1. Immediately tell your employer or supervisor when, where and how you were injured.
2. Secure medical care immediately.
3. Tell your doctor to file medical reports with the Board and with your employer or its insurance carrier.
4. Make out this claim for compensation and send it to the Workers' Compensation Board centralized mailing address. Failure to file within two years after the date of injury may result in your claim being denied. If you need help in completing this form, contact the Workers' Compensation Board at 1-877-632-4996.
5. Go to all hearings when notified to appear.
6. Go back to work as soon as you are able; compensation is never as high as your wage.

**Your Rights:**

- Generally, you are entitled to be treated by a doctor of your choice, provided he/she is authorized by the Board. If your employer is involved in a preferred provider organization (PPO) arrangement, you must obtain initial treatment from the preferred provider organization which has been designated to provide health care services for workers' compensation injuries.
1. DO NOT pay your doctor or hospital. Their bills will be paid by the insurance carrier if your case is not disputed. If your case is disputed, the doctor or hospital must wait for payment until the Board decides your case. In the event you fail to prosecute your case or the Board decides against you, you will have to pay the doctor or hospital.
  2. You are also entitled to be reimbursed for drugs, crutches, or any apparatus properly prescribed by your doctor and for carfares or other necessary expenses going to and from your doctor's office or the hospital. (Get receipts for such expenses.)
  3. You are entitled to compensation if your injury keeps you from work for more than seven days, compels you to work at lower wages, or results in permanent disability to any part of your body.
  4. Compensation is payable directly and without waiting for an award, except when the claim is disputed.
  5. Injured workers or dependents of deceased workers may represent themselves in matters before the Board or may retain an attorney or licensed representative to represent them. If an attorney or licensed representative is retained, his/her fee for legal services will be reviewed by the Board and if approved will be paid by the employer or insurance company out of any compensation benefits due. Injured workers or dependents of deceased workers should not directly pay anything to the attorney or licensed representative representing them in a compensation case.
  6. If you need help returning to work, or with family or financial problems because of your injury, contact the Workers' Compensation Board office nearest you and ask for a rehabilitation counselor or social worker.

This form should be filed by sending directly to the address listed below:

New York State Workers' Compensation Board  
Centralized Mailing  
PO Box 5205  
Binghamton, NY 13902-5205

Customer Service Toll-Free Number: 877-632-4996